

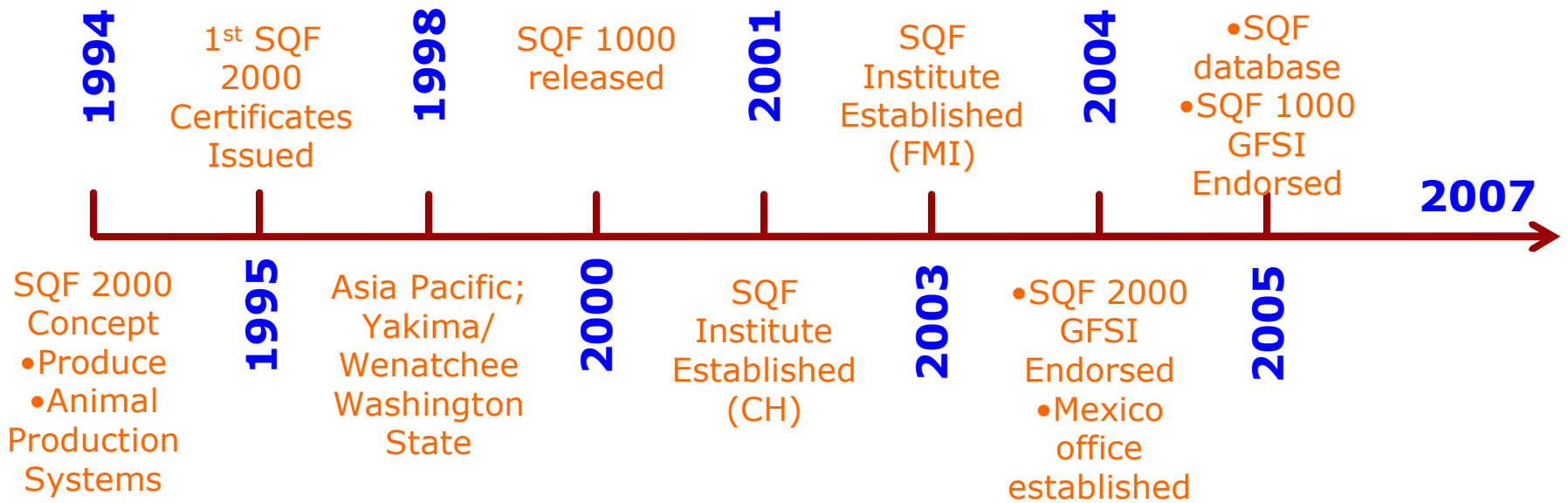


A COMMITMENT TO SAFE, QUALITY FOOD

The SQF Program

Paul Ryan
Executive Director
SQF Institute

Development of the SQF Program



The SQF Program



SQF
INSTITUTE

SQF 1000 CODE



SQF 2000 CODE



An SQF System is not
an Audit Checklist - it
is an Integrated Food
Safety and Quality
Management System

SQF 1000 - HACCP
based supplier
assurance standard
for primary producers

SQF 2000 - A HACCP
supplier assurance
standard for the
manufacturing sector

The Codes In Outline

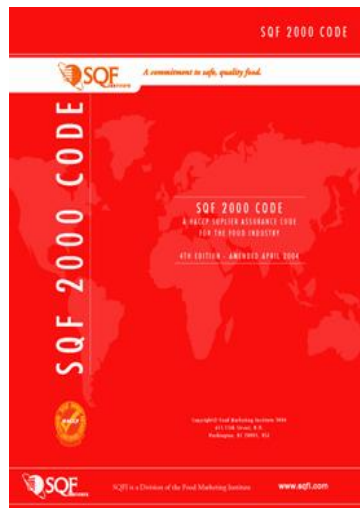


Primary
Producer/Grower



- 4 Sections
 - 1.0 Scope
 - 2.0 References
 - 3.0 Definitions
 - 4.0 SQF System Requirements (Elements)

- Commitment
- Documentation
- Specifications
- Attaining Food Safety
- Verification
- ID/Trace/Recall
- Incident management

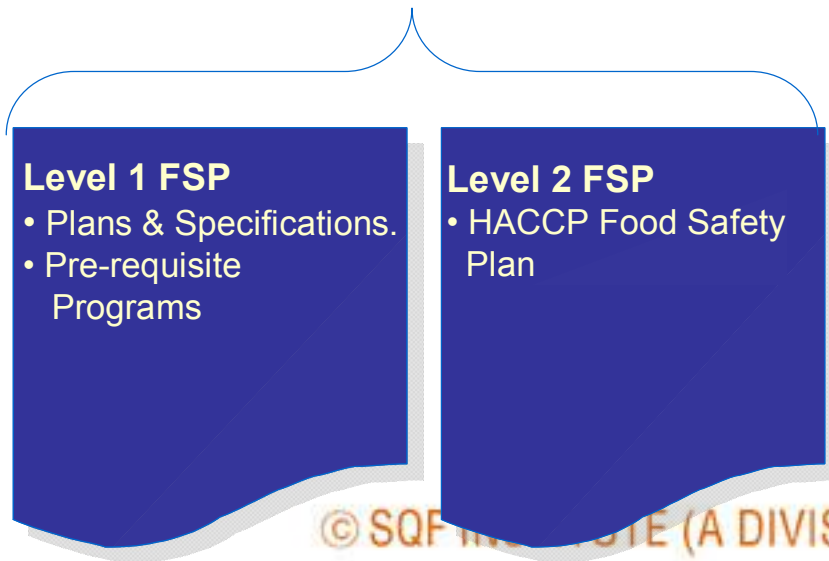


Manufacturers

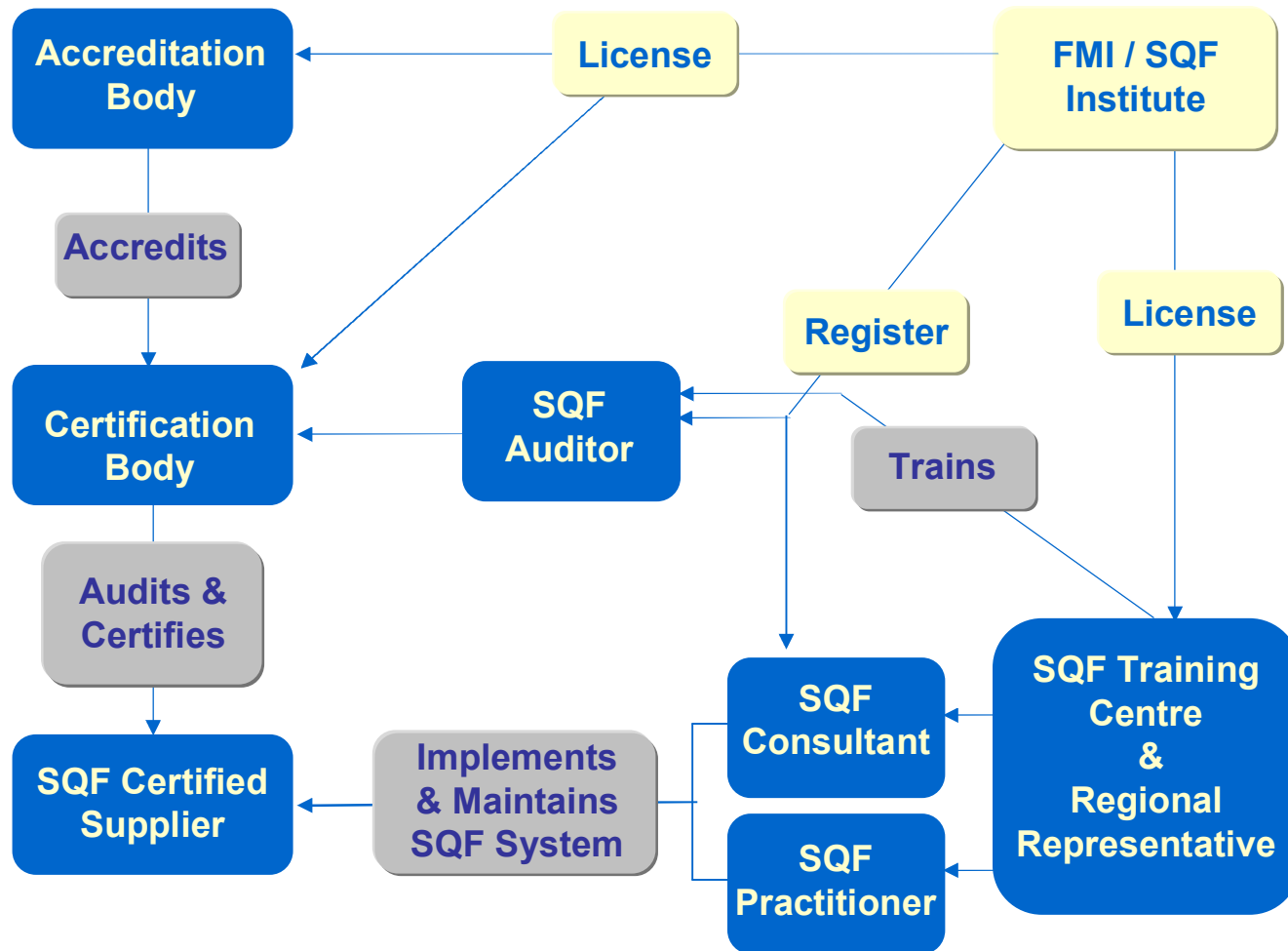


- 5.0 Food Safety Fundamentals
 - 6.0 Pre-requisite Programs
 - 7.0 Specialty Processes
- Three levels of certification

The Suppliers SQF System



Management of the SQF Program



IMPLEMENTING AND AUDITING SQF

SQF Training Requirements



- HACCP
 - HACCP training a pre-requisite
- SQF Systems Training
 - Developed by the SQF Institute and delivered by licensed trainers

Implementing SQF

The logo for SQF Institute, featuring the letters "SQF" in a large, bold, sans-serif font, with the word "INSTITUTE" in a smaller, all-caps font directly beneath it. The logo is positioned in the upper right corner of the slide, partially overlapping a light-colored circular graphic element.

SQF Practitioner who is:

- designated by the supplier to run the SQF program in the company
- experienced & has completed HACCP & SQF Systems training

SQF Consultants who are registered by the SQF Institute as capable of working in a particular food category.

Auditing SQF Systems



- Certification Bodies required to meet ISO/IAF Guide 65 & SQF General Requirements
- Uniform audit procedures and checklists to ensure more uniformity of audit outcomes
- Audit rating applies
- Annual certification
- Audit frequency annual or biannual

Auditing SQF Systems

The logo for SQF Institute, featuring the letters "SQF" in a large, bold, sans-serif font, with the word "INSTITUTE" in a smaller, all-caps font directly beneath it. The logo is positioned in the upper right corner of the slide, partially overlapping a decorative graphic of a globe.


Certification Body auditors are required to:

- Be HACCP and SQF systems trained
- Be trained how to audit a food manufacturing or production system
- Have auditing experience and a knowledge of the food and the process being audited
- Be registered by SQFI as SQF Auditors

Auditing SQF Systems

SQF
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SQF AUDITOR

Reg. No	Expiry Date	Licensed Since
00000	7 Nov. 2004	7 Nov. 1999
Food Sector Categories	14, 20, 23	
1st Name	Surname	
LORCA	Fernando	
Address	35 Plum Tree Rd. VALPARASIO Chile	

FOOD SECTOR CATEGORIES

14 Fruit and Vegetable Processing

20 Recipe Meals Manufacture

23 Food Service Catering and Food Service Operations

SQF Institute (A Division of the Food Marketing Institute)

655 15th St. NW, Washington, DC 20005 USA

SQF 2000 Comprehensive Audit Report - General Food Manufacturing

Level	Guidance Document Reference	Requirement	Rating	Evidence of Non-compliance	Comment
4.1 Commitment					
4.1.1 Management Policy					
1	1	i. Has the owner or most senior responsible person prepared and signed a Policy Statement outlining their commitment to supply safe food and to make resources available to achieve this	1		
1	2	ii. Has the Supplier demonstrated its commitment to make resources available to support the development, implementation and maintenance of the Food Safety Plan	1		
1	3	iii. Does the Policy Statement further outline the Supplier's commitment to food quality and continuous improvement which is relevant to the Supplier's goals and customer requirements and to make the resources available to achieve this	1		
1	3	iii. Is the Policy Statement signed by the owner or the senior responsible person, reviewed at least annually, displayed in a prominent position, available in the appropriate language and communicated to all staff	1		
4.1.2 Policy Manual					
1	1	i. Has a Policy Manual been prepared which outlines how the Supplier will meet the requirements of Level 1 of the SQF 2000 Code and reference where those methods are documented in the policy statement included in the Policy	1		

Aspects assessed

Individual Rating Criteria

Levels of Access To Supplier Information



1. Public Access
2. Customer Access (A)
 - Information about their suppliers certification
 - Requires Suppliers Approval
3. Customer Access (B)
 - Summary of Audit report
 - Requires Supplier Approval
4. Customer Access (C)
 - Full Audit Report
 - Requires Supplier Approval
5. Trends analysis



A commitment to safe, quality food.



- EXPERTS
- EDITORS
- NEWS
- DOCUMENTATION
- CONTACT SQF
- MAP
- HOME

Search Results

The following record(s) match(es) your search criteria. If you are an FMI member or an SQF subscriber, please click on the company name for a detailed company view.

SEARCH: ADVANCED SEARCH

Related Documents
Presentations from 2004 FMI Show

Company **Cert Type**
[Agro Paracas S.A](#) 2000
 ICO, Peru

Products
Growing and Production of Fresh Produce:
 Asparagus

Company **Cert Type**
[Coexa S.A](#) 2000
 Lima, Peru

Products
Growing and Production of Fresh Produce:
 Citrus

Company **Cert Type**
[Inagro Sur S.A.](#) 2000
 Peru

Products
Growing and Production of Fresh Produce:
 Asparagus



New Developments with audit reports and supplier certification status

RESULTS ON LINE



- EXPERTS
- TORS
- NEWS
- DOCUMENTATION
- CONTACT SQF
- MAP

Company Details

Agro Paracis SA

Contact:Jaime Alesandro; **Phone:** +56-12 3456 7891

Email: ja@apsa.com.pu

SQF Practitioner: Tanya Lee; **Phone:** +56-12 3456 7892

Email: tl@apsa.com.pu

SQF Consultant: Brittany Crouch; **Phone:** +56-12 6543 2987

Email: bc@bcs.com.pu

Certification

Type: SQF 2000 **Number:** 10456 **Level:** 3 **Modules:** 0

FS Category: Fruit and Vegetable Processing

Products: Asparagus, Snow Peas, Baby Corn

Status

Audit Rating: **G**

Cert. Body: Bureau Veritas;

Certified since: 30 May 1998 **Certification Expires** 30 May 2008

Audit Frequency: Bi-annual

Last Audited: 23 May 2007 **Auditor:** John Smith – (HR0523)

Non-conformances: **Critical** 0; **Major** 1(C)

Minor 4 (3C:1P)

Next Audit to be completed by: 30 November 2007



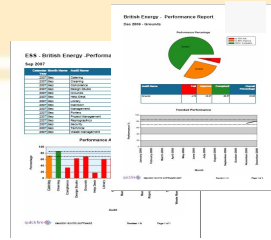
use of this website const

What role can technology play?



Are current practices delivering results?

SQFI – system components



SQFI
Reporting

SQFI PAF
(3rd Party Auditor/
Supplier self
assessment)

SQFI
Mobile
(Auditor)

SQFI
Server
(Hosted)

SQFI
Office

SQFI
Results-On-Line
(Site/Supplier/Customer)

SQFI

Technical Training On-Line

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Quickfire – Mobile (Laptop or Tablet PC)



Quickfire Office v4.2 [laptopjg] - [View Audi...]

View Activities Data Reports Tasks Plug-ins Tools Help Selected Site Acclaimed Foods Ltd

Available Audits | Question Responses | Corrective Actions

Save Delete Add Task Edit File Attachments

Completion Date 18/06/2007 Assign To Factory Man Attachment

Actual Completion Date 16/04/2007 Priority High Status Not Started

Automatically add tasks Schedule Before Supp Signed off by

Suggested Action Point

A record for each worker MUST be kept which contains the required training programmes and a copy of the attendance certificates or their signature on a list of people who attended a training course.

Corrective Action Point

Pre Defined Action Points

Action taken

Multi-lingual capability (Italian



example)

Quickfire Office v4.3 [laptopjg] - [Visualizza verifica]

Visualizza Attività Dati Rapporti Attività Plug-in Strumenti Aluco Sito selezionato

Verifiche disponibili Risposte alle domande Azioni correttive

Allega file Filter

- Unilever Grower Self Assessment v1.0 (AD88)
 - Commento verifica
 - 0.0 Dati agronomici di base
 - 1.0 Fertilità del suolo
 - 2.0 Erosione
 - 2.1 Conformità alla legge
 - 2.2 Valutazione del rischio
 - 2.2.1 Fate studi di valutazione dei rischi e prendete in co
 - 2.2.2 Evitate terreni ad elevato rischio o utilizzate misur
 - 2.2.3 valutate i processi di erosione e mettete in atto m
 - 2.2.4 Siete capaci di individuare e valutare eventi che po
 - 2.2.5 Avete un sistema che faccia scattare l'azione e rea
 - 2.3 Perdite fisiche
 - 2.3.1 **Mantenete i suoli coperti il più a lungo possibile? (**
 - 2.3.2 Create superfici del suolo irregolari per contrastari
 - 2.3.3 Evitate asportazione di terreno dal campo con il la
 - 2.3.4 Create punti di interruzione lungo i pendii e create
 - 2.3.5 Create barriere frangi-vento?
 - 2.3.6 Cercate di prevenire che il suolo si secchi? (contro
 - 2.3.7 Avete un piano di gestione delle acque temporales
 - 2.3.8 Consultate esperti per utilizzare le misure migliori
 - 2.4 Macchinari
 - 2.5 Vivaio/Trapianti di substrati
 - 3.0 Nutrienti
 - 4.0 Controllo dei parassiti
 - 5.0 Biodiversità
 - 6.0 Valore della Supply Chain
 - 7.0 Energia
 - 8.0 Gestione dei rifiuti
 - 9.0 Acqua
 - 10.0 Capitale Sociale ed Umano
 - 11.0 Economia locale
 - Unilever Supplier Self Assessment v1.0 (AD88)

(Critical)

Mantenete i suoli coperti il più a lungo possibile? (uso di residui del raccolto, colture di copertura, strati protettivi, piani di rotazione,...)

<input checked="" type="checkbox"/>	Si	
<input type="checkbox"/>	No	
<input type="checkbox"/>	N/A (Please justify)	
<input type="checkbox"/>	In Corso	

Numero di giorni di terreno a suolo nudo (per anno)
20.

Guida Osservazioni Suggestimenti

Visualizza immagine

Perdita di Suolo

La perdita di suolo tramite operazioni di mietitura ed erosione da parte di vento e acqua può portare ad una perdita considerevole della porzione più superficiale del suolo, quindi riducendo la risorsa principale del sistema agricolo. Pratiche di agricoltura sostenibile possono ridurre la perdita di suolo.

In questa sezione cerchiamo coltivatori che possono dimostrare che tengono in considerazione l'interazione tra tipi di suolo, pendenza della coltivazione e raccolto e che progettano di conseguenza.

Example Audit Report

Audit Report



1. Introduction

Customer Ref	2753
Company Name	Lane's Fresh Produce
Address	Mill End Trading Estate Plough Lane Evesham WF34 7HD
Telephone	01731 456333
Main Contact	Mark Baker
Role	Technical Director
Audit Type	EUREPGAP v2.0
Audit Ref	AR4723
Audit Duration	2 days
Date of previous audit	15/06/03
Scope of the audit	Pack house and glass houses
Registered crops	Tomatoes, Broad Beans, Runner Beans, French Beans
Non-Registered crops	Wheat
Audit frequency	Annually

2. Audit Team & Auditees

Audit Team	Name					
Lead Auditor	Joseph Andrews					
Auditors	Matthew Harris					
Experts	Gordon Bright					
Auditees	Present at:					
Name	Role	Opening Meeting	Documents Review	Onsite Audit	Closing Meeting	Post Audit
Mark Baker	Technical Director	X	X	X	X	X
Adrian O'Connell	Packhouse Manager	X		X		X
Lisa Goodridge	QA Manager			X	X	

3. Summary of EUREPGAP compliance criteria fulfilment

Category	Total no. of Questions	Non-applicable questions	Total no. applicable questions	Total no. non-conformances	Score (%)	Required Score (%)
Major Must	47	0	47	4	91.5	100
Minor Must	100	9	91	16	82.4	95
Should	63	5	58	3	94.8	-
Total	210	14	196	23	88.3	-

4. Non-conformities

4.1 Major Non-conformities

No.	Question No.	Audit Finding	Proposal Discussed	Schedule
1	1.1	No document system in place	Implement a document traceability system	After Certification
2	4.2.1	No records retained for any of the growing areas	Implement a recording system to note the crops grown in each production area	Before Certification
3	5.5.2	On farm sterilisation: location not recorded	Record the location of the sterilisation	
4	10.2.1	Water analysis undertaken more than 12 months ago	Send sample to be analysed and send results into office	

4.12 Minor Non-conformities

5	3.6.2	No documented records of planting, use or production of GMO cultivars and/or products derived from genetic modification are available	Produce the documents for planning the use of GM crops	Before Certification
6	5.3.1	No documented evidence of cultivation techniques to reduce soil erosion	Develop and record means of reducing the occurrence of soil erosion	
7	6.1.1	No certificates of competence for agronomists available	You must demonstrate that the person is qualified for the advice given; pesticides and fertilisers	Before Certification
8	8.8.8	Pesticides stored together with other materials	Move the chicken feed to another store. This will also help with the vermin issue.	Before Certification
9	8.8.18	Granular or powdered products are not always stored above liquids	You must store ALL liquids below any powder chemicals to eliminate the chance of cross contamination	After Certification
10	8.9.9	Not all the relevant national, regional and local regulations and legislation are complied	Comply with the regulation in Booklet PEST567: A guide to the safe use and management of agrochemicals	

5. Conclusion

Auditor proposal, based on the results detailed, are that following the auditee addressing the Major and Minor Non-conformances, certification will be granted.

6. Date of next Audit

Date of next Audit to ensure positive scheme status is 07/09/2005.

Supplier/Site Score Cards



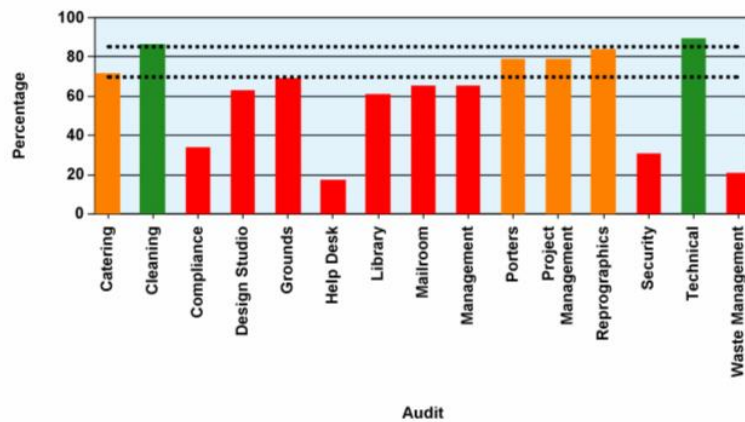
- Performance All Areas



Sep 2007

Calendar Year	Month Name	Audit Name	Overall Percentage	
2007	Sep	Catering	71.90	Orange
2007	Sep	Cleaning	86.36	Green
2007	Sep	Compliance	33.80	Red
2007	Sep	Design Studio	62.78	Red
2007	Sep	Grounds	69.05	Red
2007	Sep	Help Desk	17.00	Red
2007	Sep	Library	61.00	Red
2007	Sep	Mailroom	65.38	Red
2007	Sep	Management	65.38	Red
2007	Sep	Porters	79.10	Orange
2007	Sep	Project Management	79.00	Orange
2007	Sep	Reprographics	84.30	Orange
2007	Sep	Security	30.60	Red
2007	Sep	Technical	89.30	Green
2007	Sep	Waste Management	21.00	Red

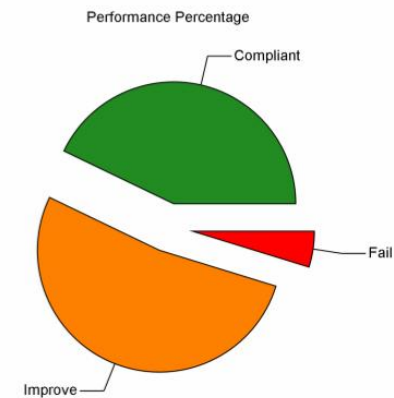
Performance All Audits



- Performance Report

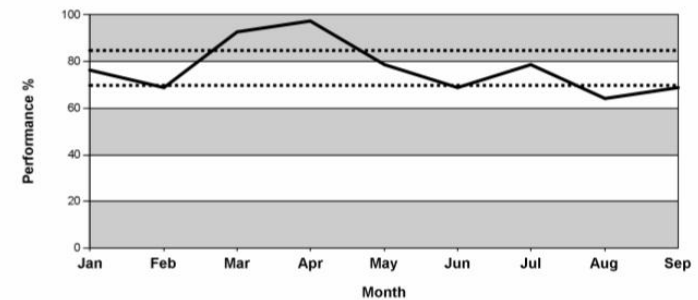


Sep 2007 - Grounds



Audit Name	Fail	Improve	Compliant	Overall Percentage
Grounds	4.76	52.38	42.86	69.05

Trended Performance



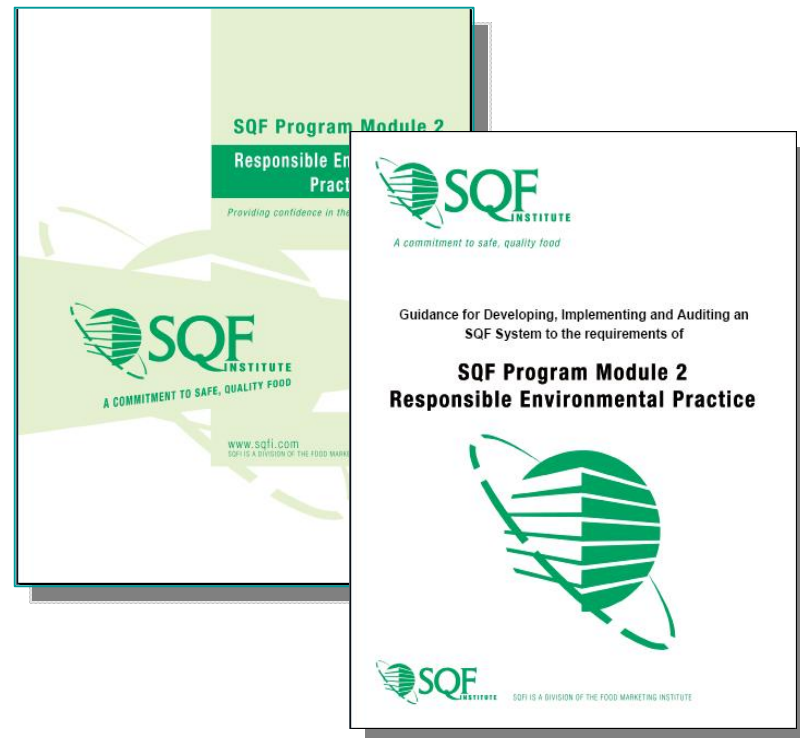
SQF Modules

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- Responsible Social Practice

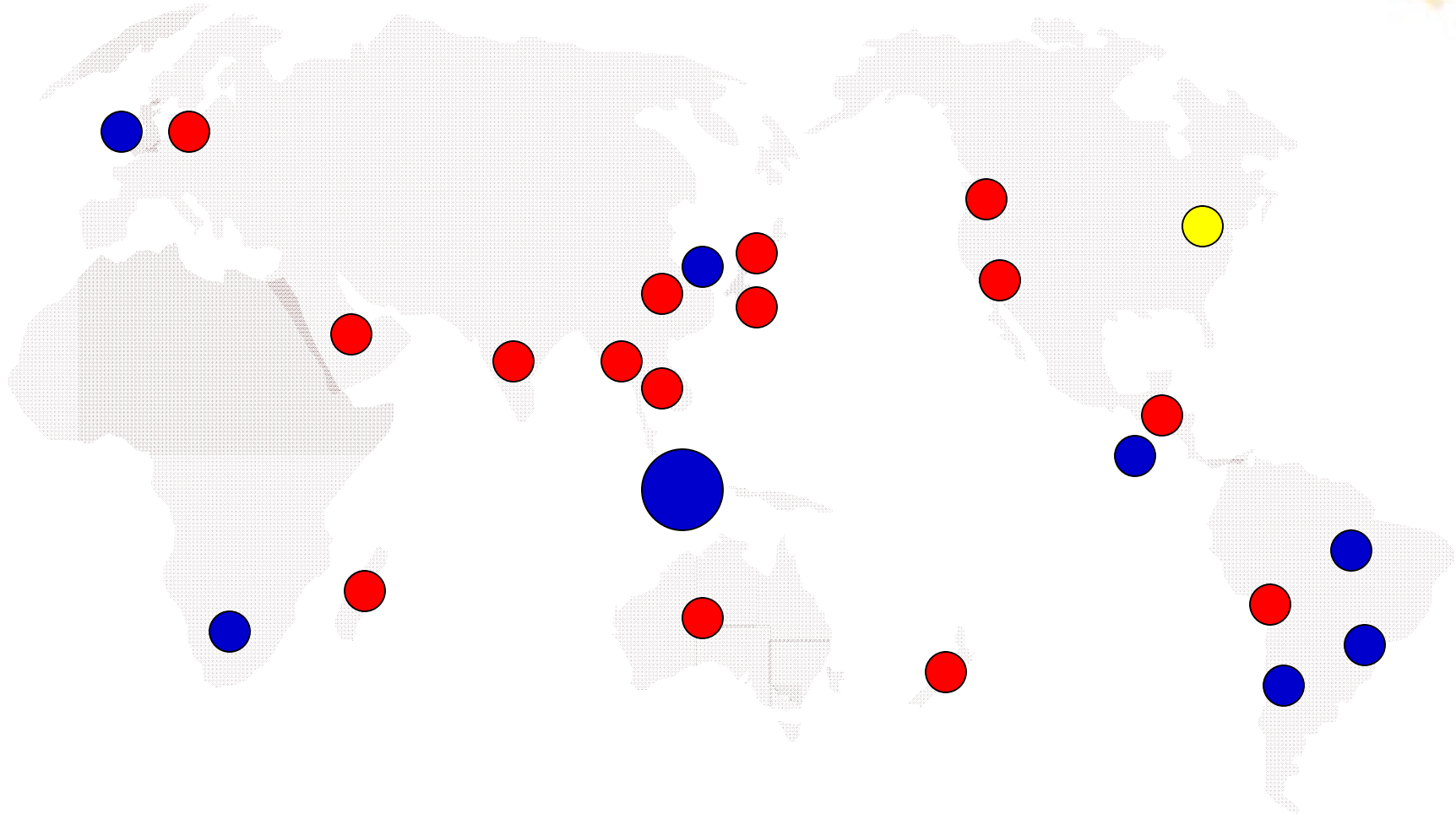


- Responsible Environmental Practice



SQF – Global Activity?

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SQF, A Tool to Build Trust



- Consumers trust their retailer
- Buyers need to have confidence in the products they sell
- SQF is an adaptable, method that enables buyers to achieve and maintain customer trust.

